

Contents

Part I History and Main Features of the EEA Agreement

The History of the EEA Agreement and the First Twenty Years of Its Existence	3
Sven Norberg and Martin Johansson	
1 The Development of the EFTA–EU Relationship	4
1.1 Introduction	4
1.2 Discussions on Economic Cooperation Within the OEEC	5
1.3 The Creation of the European Communities	6
1.4 The Creation of the European Free Trade Association	8
1.5 Attempts to Create a Single European Market	11
1.6 The Free Trade Agreements	14
1.7 The Luxembourg Declaration on the EES	15
1.8 The Luxembourg Follow-Up	17
1.9 The Delors Initiative	21
1.10 The EEA Negotiations	26
1.11 The EEA Agreement Concluded	31
1.12 Final Comment on the Conclusion of the EEA Agreement	31
2 Some Developments Since the Entry into Force of the EEA Agreement on 1 January 1994	32
2.1 Changes in Composition on the EFTA Side	32
2.2 The Situation of Switzerland	34
2.3 The Application of the EEA Agreement: Dynamism and Homogeneity in Practice	35
3 The Future of the EEA	41
References	42

Part II Genesis of EEA Law

Decision-Making Procedure and Implementation of New Law	45
Georges Baur	
1 Introduction	45
1.1 General Remarks	45
1.2 Two-Pillar System	47
1.3 Homogeneity	51
1.4 The Role of the EFTA Secretariat	53
2 Decision-Making Process	53
2.1 The Notion of EEA Relevance	53
2.2 Decision Shaping	56
2.3 Exemptions, Derogations and Adaptations	57
2.4 Procedure Prior to Adoption of Decisions by the Standing Committee	60
2.5 Preparation of a JCD	61
2.6 Constitutional Requirements and Entry into Force of JCDs	63
3 Some Remarks on National Implementation	66
4 Conclusion	67
References	67
Suspension of Parts of the EEA Agreement: Disputes About Incorporation, Consequences of Failure to Reach Agreement and Safeguard Measures	69
Georges Baur	
1 Introduction	69
2 Failure to Reach Agreement on the Amendment of an Annex	70
2.1 Duty of Consultation Between the Contracting Parties	70
2.2 Possible Suspension of Part of an Annex to the EEA	71
2.3 Practical Examples	73
3 Safeguard Measures	74
3.1 General Safeguard Measures	74
3.2 Sector-Specific Safeguard Measures	76
3.3 A Disputed Case: Fisheries	80
4 Conclusion	82
References	83
The Notion of ‘Opting Out’	85
Knut Almestad	
1 The Historical Context	85
2 The Basic Premises of the Discussion	86
3 The Duty of the Contracting Parties to Include New Relevant Legislation	87
4 The Question of Relevance	89
4.1 The Material Scope of the Agreement	89
4.2 The Territorial Scope of the Agreement	90

5	Possible Institutional or Constitutional Restraints	92
5.1	On the EU Side	92
5.2	In the EEA/EFTA States	92
6	Summing Up	94
	Reference	94
EEA Main Agreement and Secondary EU Law Incorporated into the Annexes and Protocols		95
Halvard Haukeland Fredriksen		
1	The Architecture of the EEA Agreement	95
2	The Substantive Rules of the Main Agreement: An Overview	96
3	The Substantive Rules in the Protocols and Annexes: An Overview	97
4	The Relationship Between the Main Agreement and Its Protocols and Annexes	98
4.1	Article 119 EEA	98
4.2	The Existence of Derogations in the Annexes from Provisions of the EEA Main Agreement	99
4.3	The Lack of Judicial Review of the Validity of the Decisions of the EEA Joint Committee to Amend the Agreement	99
4.4	Article 98 EEA on the Competence of the EEA Joint Committee	100
4.5	The EU Law Perspective: Another Kind of Primary and Secondary EEA Law?	101
4.6	The Case-Law of the EFTA Court	102
4.7	Hierarchy or Homogeneity?	104
4.8	Conclusion	106
5	On the Need to Supplement the Application of Norms Found in the Protocols and Annexes with Those of the Main Agreement	106
6	The EEA Main Agreement and EEA-Relevant Secondary EU Law Not Yet Incorporated into the EEA	108
	References	109

Part III Institutions and Procedure

The EFTA Surveillance Authority		113
Frank Büchel and Xavier Lewis		
1	Institutional Structure of ESA	113
1.1	Establishment	113
1.2	Structure, Organisation and Appointment	115
2	Competence	117
2.1	Internal Market	117
2.2	State Aid and Competition	121

3	Power to Adopt Remedies	125
3.1	To Take Measures, Issue Guidelines	125
3.2	To Fine	125
4	Mandate and Procedure	127
4.1	Outline of Procedure	127
4.2	Timeliness	131
4.3	Discretion	133
5	ESA and Other EEA and EU Institutions	134
6	ESA and the Courts	134
6.1	EFTA Court	134
6.2	EU Courts	135
	References	138
	The EFTA Court: Structure and Tasks	139
	Carl Baudenbacher	
1	The EFTA Court	139
1.1	Nomination, Appointment and Re-appointment of Judges	140
1.2	Composition of the Court	141
1.3	Secrecy of the Vote	144
1.4	Organisation of the Court	144
1.5	Homogeneity and Reciprocity	145
1.6	Types of Procedure and Access to the Court	149
1.7	Third Parties' Rights	170
1.8	Language Regime	172
1.9	Methods of Interpretation	172
1.10	Judicial Style	173
1.11	Economics	174
1.12	Transparency	175
	References	175
	The Relationship Between the EFTA Court and the Court of Justice of the European Union	179
	Carl Baudenbacher	
1	The Relationship Between the EFTA Court and the ECJ	179
1.1	The Lugano Convention as the Precursor	180
1.2	EEA Law on the Books	182
1.3	EEA Law in Action	183
1.4	ECJ Going First	184
1.5	EFTA Court Going First	187
1.6	The Role of ECJ Advocates General	190
1.7	Judicial Conflict	190
1.8	Conclusions	192
	References	193

Dispute Resolution Under the EEA Agreement	195
Christa Tobler	
1 Introduction	195
2 Dispute Resolution Under Article 111 EEA	197
2.1 The Technical-Diplomatic Element: The Role of the EEA Joint Committee	197
2.2 The Judicial Element: The Role of the ECJ	198
2.3 The Political Element: Unilateral Remedies	200
2.4 The Element of Alternative Dispute Resolution: Arbitration ..	201
3 Comparison with the Legal Regimes Between the EU and Selected Non-EU Member States	202
3.1 Turkey	202
3.2 Switzerland	204
4 Conclusion	205
References	206

Part IV National Authorities in the EFTA Pillar

Attorney General of Norway	211
Pål Wennerås	
1 Introduction	211
2 The Attorney General and Litigation Before the EFTA Court	212
3 Preliminary References from National Courts	212
3.1 Competence to Refer	212
3.2 Division of Competence and Judicial Dialogue	213
3.3 The Procedure Before the EFTA Court	215
4 Material Issues	216
4.1 Homogeneity	216
4.2 Restrictions and Discrimination	217
4.3 Mandatory Requirements	218
4.4 The Proportionality Principle	219
5 The Judicial System and the ECHR	221
6 Final Remarks	222
Reference	223
Attorney General of Iceland	225
Einar Karl Hallvarðsson	
1 The Office of the Attorney-General	225
2 Role with Regard to the EEA Agreement	226
3 Policy with Regard to the EEA Agreement	227
4 Experiences and Cases	230
5 The Icelandic Appeal System	237
Reference	239

Liechtenstein EEA Coordination Unit	241
Andrea Entner-Koch and Thomas Bischof	
1 Role of the EEA Coordination Unit	241
2 Policy with Regard to the EEA Agreement	242
3 Experiences with the EEA	243
4 EFTA Court Cases	243
4.1 Discrimination	244
4.2 Freedom of Establishment	245
4.3 Freedom to Provide Services	247
4.4 Free Movement of Capital	248
4.5 State Aid	248
4.6 Jurisprudence on Secondary Legislation	249
4.7 Compliance with Judgments	251
5 Cases of the Court of Justice of the European Union	251
5.1 Free Movement of Capital	252
5.2 Supplementary Protection Certificates	252
Part V National Courts in the EFTA Pillar	
Norwegian Courts	257
Thomas Christian Poulsen	
1 The Norwegian Court System	257
1.1 Organisation of the Courts	257
1.2 Appeals	258
2 Advisory Opinion Procedure Under Norwegian Procedural Law	258
2.1 Legal Basis	258
2.2 Which Bodies May Refer?	259
2.3 Procedure for Making a Referral	262
2.4 When (at which Stage) May a Referral Be Made?	263
2.5 When (in which Situations) Should a Referral Be Made?	263
2.6 Must the Parties Be Heard before a Request Is Made?	265
2.7 Possibility to Appeal a Decision to Refer or Not to Refer?	265
2.8 Effect of an Advisory Opinion	265
3 Experiences	267
3.1 Effect and Application of the EFTA Court's Advisory Opinions	267
3.2 Number of Referrals	270
References	274

Icelandic Courts.....	277
Skúli Magnússon	
1 The Icelandic Judicial System	277
1.1 Outline of the Procedures	279
1.2 Appeals	281
1.3 Power to Refer in Terms of Article 34 SCA.....	282
2 Referral Proceedings Under Icelandic Law	282
2.1 Legal Basis for Referral	282
2.2 Relevance of EEA Law: Icelandic Case-Law	283
2.3 The Procedure for Making a Referral.....	287
2.4 The Appeal System	288
2.5 Binding Character of an Advisory Opinion	289
2.6 Costs and Legal Aid.....	290
2.7 Assessing Icelandic Case-Law.....	291
References	292
Liechtenstein Courts.....	293
Wilhelm Ungerank	
1 The Liechtenstein Court System	293
1.1 Liechtenstein Courts	293
1.2 Appeal System	295
1.3 Authority of Referral in Terms of Article 34 SCA.....	296
2 Referral Proceedings under Liechtenstein Law	298
2.1 Liechtenstein Procedural Law	298
2.2 Right of Courts of the First Instance to Request Advisory Opinions	298
2.3 Form of the Request	299
2.4 Right of the Parties to Be Heard	299
2.5 No Procedural Claim to Obtain an Opinion	300
2.6 Finality of an Order to Submit a Request	301
2.7 Binding Character of the Opinion	302
2.8 Legal Aid	302
2.9 Particular Decisions under Liechtenstein Procedural Law	303
3 Experience	304
4 List of the Requests by Liechtenstein Courts for an Advisory Opinion	305

Part VI The Practicing Bar in the EFTA Pillar

Norwegian Bar	309
Thomas Nordby and Kristoffer Nerland	
1 Introduction	309
2 EEA Law Education in Norwegian Universities	310
2.1 The University of Bergen	311
2.2 The University of Oslo	311
2.3 The University of Tromsø	311
3 EEA Law Education and the Norwegian Bar Examination	312
4 The EEA Legal Method Compared to Traditional Legal Methods in Norwegian Law	312
4.1 EEA Law: The Objectives and the Contracting Parties' Intentions	313
4.2 The Different Legal Methods	313
5 The Application of EEA Law in Norway	314
5.1 The Authorities and Administration	315
5.2 The Courts	315
6 The EFTA Surveillance Authority: An Attorney's View	316
7 The EFTA Court: An Attorney's View	317
References	318
Icelandic Bar	319
Stefán Geir Thórisson	
1 Introduction	319
2 EEA Law Education in Icelandic Universities	321
2.1 The University of Iceland	321
2.2 Reykjavík University	321
2.3 Bifröst University	322
2.4 Akureyri University	322
3 EEA Law and the Icelandic Bar Examination	323
4 The EEA Legal Method Compared to Traditional Icelandic Legal Methods	323
4.1 EEA Law: The Objectives and the Contracting Parties' Intentions	323
4.2 International Law and the Traditional Icelandic Legal Method	324
5 The Application of EEA Law in Iceland	325
5.1 The Authorities and Administration	326
5.2 The Courts	326
6 The EFTA Surveillance Authority: An Attorney's View	329
7 The EFTA Court: An Attorney's View	330

Liechtenstein Chamber of Lawyers	331
Mario Frick	
1 Introduction	332
1.1 From Closure to Controlled Opening	332
1.2 Impact on the Design of the Lawyers Act	332
2 Relevant Law for the Work of Lawyers	333
2.1 Transposition of EEA Law	334
2.2 Authorisation	334
2.3 Relative Monopoly of Legal Services and Disciplinary Law	335
2.4 Organisation of Legal Activity	335
2.5 Professional Secrecy: Attorney-Client Privilege and Anti-money-laundering	336
2.6 Role of the Chamber of Lawyers	337
2.7 Rules of Procedure of the Chamber of Lawyers and Professional Guidelines	338
2.8 Actions Brought Before the EFTA Court	339
3 EFTA Court: Case-Law	339
3.1 Case E-6/13 <i>Metacom AG</i>	339
3.2 Case E-10/04 <i>Paolo Piazza v. Paul Schurte AG</i>	340
3.3 Case E 1/07: <i>Criminal Proceedings Against A</i>	341
4 Opportunities?	342
5 Experiences	342
References	345

Part VII General Principles and Prohibition of Discrimination on Grounds of Nationality

General Principles	349
Páll Hreinsson	
1 Introduction	349
2 The Principles of Homogeneity and Reciprocity	350
3 The Source of Other General Principles in EEA Law	355
4 The Principle of Loyalty	357
5 The Principle of Equality	360
6 The Principle of Proportionality	363
6.1 Introduction	363
6.2 Is the Measure Suitable to Achieve the Desired End?	363
6.3 Is the Measure Necessary to Achieve the Desired End?	366
6.4 Is the Burden Imposed on the Individual by the Measure Excessive in Relation to the Objective Sought?	370
7 The Principles of Legal Certainty and the Protection of Legitimate Expectations	371
8 Principles Derived from Fundamental Rights	374
9 The Principle of Effectiveness	376

10	The Precautionary Principle	378
11	The Principle of Prohibition of Abuse of EEA Law	379
12	State Liability for Breach of EEA Law	381
13	Direct Effect and Primacy	383
13.1	Introduction	383
13.2	EEA Measures Intended to Ensure That EEA Rules Are Made Part of the Internal Legal Order	384
13.3	EEA Measures Intended to Ensure the Prevalence of Implemented EEA Rules	385
13.4	When Does a Regulation and Directive Gain Effect Under the EEA Agreement?	386
13.5	What Is the Effect of an EEA Rule That Has Not Been Implemented into Domestic Law Either Temporarily or by Mistake?	387
14	Conclusions	388
	References	388
	General Prohibition of Discrimination on Grounds of Nationality	391
	Halvard Haukeland Fredriksen	
1	Introduction	391
2	Relationship to the EU Law Right to Equal Treatment Irrespective of Nationality	393
3	Scope of Application <i>Ratione Materiae</i>	395
4	Applicability of Article 4 EEA to Wholly Internal Situations	397
5	Applicability of Article 4 EEA to EEA Nationals Who Are Neither Economically Active Nor Economically Self-Sufficient	398
6	Applicability of Article 4 EEA to Nationals and Economic Operators from Third Countries	402
7	Relationship with Specific Provisions of EEA Law Prohibiting Discrimination	404
8	The Subjects of the Prohibition	405
9	Concept of Discrimination	406
10	Derogations and Justifications	408
11	Legal Consequences of Violations of Article 4 EEA	409
	References	410
	Part VIII The Fundamental Freedoms	
	Free Movement of Goods	415
	Peter-Christian Müller-Graff	
1	Function and System of the Free Movement of Goods in the EEA	415
2	Product Coverage as a Specific EEA-Law Problem (Agricultural and Fish Products)	417
2.1	General Rules of Product Coverage	417
2.2	Specific Rules on Agriculture and Fishery	418

2.3	Specific Rules on Coal and Steel Products	419
2.4	Specific Rules on Origin	420
3	Other Rules Relating to the Free Movement of Goods	421
4	Restrictions of the Free Movement of Goods	422
4.1	Customs Duties	422
4.2	Internal Taxation	424
4.3	Quantitative Restrictions and Measures Having Equivalent Effects on Imports	426
4.4	Quantitative Restrictions on Exports (Article 12 EEA)	428
4.5	Justification of Quantitative Restrictions and Measures Having Equivalent Effect (Article 13 EEA)	429
4.6	State Monopolies (Article 16 EEA)	430
5	Cooperation in Customs Related Matters and Trade Facilitation	432
6	Relationship to Other Rules in the EEA	433
	References	434
	Right of Establishment and Freedom to Provide and Receive Services	437
	Philipp Speitler	
1	Introduction	437
2	Relevant EEA Law	438
2.1	Hierarchy of Norms and Relationship Between the Fundamental Freedoms	439
2.2	EEA Agreement	442
2.3	Protocols and Annexes	444
2.4	Focus on: The Common Feature of Mutual Recognition of Professional Qualifications (Articles 30, 35, 39 EEA and Annex VII)	448
2.5	The Exemption of Activities Connected with the Exercise of Official Authority (Articles 32, 39 EEA)	452
3	The Right of Establishment	452
3.1	Right Holders	454
3.2	Rights	455
3.3	Discrimination and Restriction	460
4	The Freedom to Provide and Receives Services	462
4.1	Right Holders	464
4.2	Rights of Service Providers and Recipients	465
5	Justification Grounds and Proportionality	467
6	Conclusions	470
	References	470
	Free Movement of Persons	473
	Kjartan Bjarni Björgvinsson	
1	Introduction	473
2	Workers	474
2.1	The Concept of ‘Worker’	474
2.2	First Time Work-Seekers	477

2.3	Workers Out of Employment	478
2.4	Workers in Public Service	480
3	Self-Employed Persons	481
4	Persons Providing Services	482
5	Directive 2004/38 and the Rights of Economically Inactive EEA Nationals	483
5.1	Introduction	483
5.2	Right to Exit, Entry and Short-Term Residence	484
5.3	Right to Long-Term Residence	485
5.4	Students	487
5.5	Right to Permanent Residence	488
5.6	The Rights of Family Members	489
6	The Need for Cross-Border Activity	490
6.1	Articles 28 and 31 EEA	490
6.2	Directive 2004/38/EC	491
7	What Entities Are Bound by Free Movement Obligations?	492
8	Rights of Persons Taking Advantage of Free Movement Under the EEA Agreement	493
9	Justified Restrictions	498
	References	500
	Free Movement of Capital	501
	Per Christiansen	
1	Introduction	501
2	Treaties and Legislation	504
2.1	The Main EEA Rules	504
2.2	EEA Safeguard Measures	506
2.3	Safeguard Procedures	508
2.4	EEA/EFTA States and Third Countries	509
2.5	Economic and Monetary Policy Cooperation	510
2.6	The Main EU Rules	510
2.7	EU and Third Countries	511
2.8	A Legal Gap	511
3	Surveillance Practice and Case-Law	512
3.1	Surveillance Practice	512
3.2	Case-Law	514
4	A Note on Taxation	519
	Part IX Competition Law and Related Matters	
	Competition Law: The Brussels Perspective	523
	John Temple Lang	
1	Introduction	523
2	Judicial Review	527
3	Restrictive Agreements in the Case-Law of the EFTA Court	528
4	State Monopolies	530

Contents	xxv
5 The <i>Posten Norge</i> Judgment	532
6 Legal and Economic Concepts	534
7 The Practice of the EFTA Surveillance Authority: The <i>Color Line</i> Case	535
8 Private Claims for Compensation for Breach of EEA Competition Rules	536
9 Competition Law and Regulatory Regimes	537
10 Professional Independence of Lawyers	538
11 Homogeneity and Innovation	539
12 Conclusions	542
References	544
Competition Law: A National Perspective	547
Siri Teigum	
1 Legal Framework	547
1.1 Application of EEA Competition Rules in the EFTA States	547
1.2 Jurisdiction in Competition Matters	548
2 The Competition Authorities of the EFTA States	550
2.1 Overview	550
2.2 Liechtenstein	550
2.3 Iceland	550
2.4 Norway	551
3 Cooperation Between ESA and the National Competition Authorities of the EFTA States	551
3.1 Overview	551
3.2 Mechanisms for Allocation and Assistance	552
3.3 Use of EEA Competition Rules	554
3.4 Advisory Committee	555
3.5 Functioning of the Cooperation in Practice	556
4 Cooperation Between the Competition Authorities of the Nordic Countries	557
5 Cooperation Between ESA and the Courts of the EEA/EFTA States	557
5.1 Overview	557
5.2 Conformity	558
5.3 Requests by National Courts for Advisory Opinions by the EFTA Court	558
5.4 Request by National Courts for Opinions of ESA	559
5.5 Amicus Curiae	559
5.6 Transfer of Information	560
5.7 Assistance of ESA	561
5.8 Functioning of the Cooperation in Practice	561
6 National Competition Provisions of the EEA/EFTA States	562
6.1 Overview	562
6.2 Liechtenstein	562
6.3 Iceland	562
6.4 Norway	564

7	EEA Competition Provisions in Practice	568
7.1	Norwegian Competition Authorities' Application of the Competition Provisions in the EEA Agreement in Practice	568
7.2	The Norwegian Courts' Application of the Competition Provisions in the EEA Agreement in Practice	569
7.3	Cooperation Between ESA and <i>Konurransetilsynet</i> in Practice	573
	References	573
	State Aid	575
	Michael Sánchez Rydelski	
1	Introduction	575
2	ESA's State Aid Practice and Policy	576
2.1	State Aid Within the Meaning of Article 61(1) EEA	577
2.2	Compatibility Assessment	584
2.3	State Aid Procedure	589
2.4	Co-operation with the Commission	591
2.5	Limits to ESA's Competence: State Aid to Fisheries	592
3	Case-Law of the EFTA Court	592
3.1	Admissibility	593
3.2	Reviewability of ESA's Actions	594
3.3	Suspension of ESA's Decisions	595
3.4	Reasoning of ESA's Decisions	595
3.5	Level of ESA's Assessment	596
3.6	Selectivity in Relation to Regional and Taxation Measures	597
3.7	Services of General Economic Interest	598
3.8	Failure to Open a Formal Investigation	599
3.9	Non-compliance with Appropriate Measures and Existing Versus New Aid	600
3.10	Enforcement of ESA's Decisions	601
3.11	Recovery of Aid and Legitimate Expectations	602
4	Conclusion	603
	References	603
	Public Procurement	605
	Magnus Schmauch	
1	Introduction	605
2	Surveillance in the Field of Public Procurement	606
2.1	The EEA and SCA Agreements	606
2.2	Protocol 2 SCA	608
2.3	Procedures Relating to Directive 2004/18/EC	608
2.4	Procedures Relating to Directive 2004/17/EC	612
3	Conciliation Procedure	616

4	Enforcement Before National Courts and Authorities	618
4.1	Enforcement Directives	618
4.2	Directive 89/665/EEC and Directive 92/13/EEC in the EEA	618
4.3	National Review Procedures	619
	Reference	624
The Principles of Transparency and Openness, and Access to Documents		625
Romina Polley and Michael-James Clifton		
1	The Principles of Transparency and Openness	625
2	Making Use of the Right: Strategic, and Tactical Uses	626
2.1	Public Accountability	626
2.2	Private Enforcement of Competition Law	627
3	Relevant Access to Documents Regimes	628
4	Applying the Rules on Access to Documents: A Practical Guide	630
4.1	Scope: Who May Make a Request	631
4.2	What Is a Document?	631
4.3	Making an Application for Access to Documents	632
4.4	Time-Limits and Processing of Applications	633
4.5	Access	635
4.6	ESA's Reasoning (Exceptions)	636
5	Challenge Before the Court	650
5.1	Costs	650
6	Transparency: Beyond Access to Documents	650
6.1	Transparency and Openness of the Courts	651
6.2	Zwartveld Procedure	652
6.3	Future Developments	653
7	Conclusions	655
	References	656
Part X Further Areas of Economic Law		
Financial Services Law		659
Francesco A. Schurr and Johannes Gasser		
1	Introduction	659
2	EEA Financial Services Legislation	659
2.1	The UCITS IV Directive	660
2.2	MiFID	665
2.3	The Third Anti-money Laundering Directive	668
2.4	Other	671
3	Analysis of the Case-Law of the EFTA Court	671
3.1	Introduction to the Decision-Making of the EFTA Court in the Field of Financial Law	671
3.2	Ground-Breaking New Findings on Legal and Economic Issues of Financial Crises and on Moral Hazards in <i>Icesave</i>	672

3.3	'Comply or Explain' and 'Lost in Translation': The Two Main Chapters in the Saga of <i>Irish Bank</i>	675
3.4	Consumer Issues in the Field of Life Assurances in 'Safe Hands' of the EFTA Court: The <i>Swiss Life</i> Case	678
3.5	Conclusion	680
4	Practice of the EFTA Surveillance Authority	680
	References	685
	Gambling Law	687
	Simon Planzer	
1	Introduction	687
2	Present State of EEA Primary and Secondary Law	687
3	EEA Case-Law	690
3.1	<i>Gaming Machines</i>	690
3.2	<i>Ladbrokes</i>	691
3.3	<i>Casino Admiral AG v. Wolfgang Egger</i>	693
3.4	<i>Norwegian Lottery</i>	695
4	Account of the EFTA Court's Contribution	695
4.1	Homogeneity and the Role of Case-Law	695
4.2	Peculiar Nature of Games of Chance and Margin of Appreciation	696
4.3	Public Morality and Inconsistencies	698
4.4	ECJ: From Carte Blanche to Stricter Review	699
	References	701
	Intellectual Property Law	703
	Ole-Andreas Rognstad	
1	Introduction	703
2	Parallel Imports of Trademarked Goods from Third Countries (<i>Maglite</i> and <i>L'Oreal</i>)	705
3	Co-branding of Parallel Imported Pharmaceuticals: <i>Paranova</i>	713
4	'Accessory Exhaustion' (<i>Astra</i>)	717
5	Conclusion	719
	References	720
	Tax Law	721
	Richard Lyal	
1	Indirect Taxation	723
2	Direct Taxation	730
2.1	Free Movement of Capital: Outbound Dividends	733
2.2	Exit Taxation	735
2.3	Free Movement of Economically Inactive Persons	738
2.4	Cross-Border Company Taxation	741
2.5	Controlled Foreign Company Rules	743

2.6	Cross-Border Company Reorganisation	745
2.7	Other Direct Tax Issues	746
3	Conclusion	747
	Reference	748
	Mutual Administrative and Legal Assistance	749
	Andreas Batliner and Heinz Konzett	
1	Mutual Administrative and Legal Assistance	750
2	The Boundary Between Administrative and Legal Assistance	751
3	Legal Assistance	751
	3.1 European Convention on Mutual Assistance in Criminal Matters	752
	3.2 Convention on Laundering, Search, Seizure and Confiscation of the Proceeds from Crime	753
	3.3 Schengen	753
4	Administrative Assistance	753
	4.1 Administrative Assistance in Tax Matters	753
	4.2 Administrative Assistance Relating to the Financial Market	754
	4.3 New Developments in Administrative Assistance in European Financial Market Supervision	757
	References	760

Part XI Law of Natural and Economic Resources

	Natural Resources	763
	Knut Almestad	
1	The Historic Background	763
2	The General Rules as Interpreted by the Courts	764
3	Public Ownership to Natural Resources	766
4	The Territorial Scope of the EEA Agreement	768
5	The Particular Situation of the Fisheries Sector	770
	Energy Law	773
	Dirk Buschle and Birgitte Jourdan-Andersen	
1	Introduction: The Evolution of EU Energy Policy and Law	773
	1.1 The Creation of an Internal Market	774
	1.2 Sustainability	777
	1.3 Security of Supply	779
	1.4 The Energy Union	780
2	Energy Sectors and Markets in the EEA	780
	2.1 Iceland	780
	2.2 Liechtenstein	781
	2.3 Norway	782
3	EEA Energy Law in Substance	783
	3.1 The Internal Market Rules in the EEA	783
	3.2 Sustainability	786
	3.3 Security of Supply	788

4	The Dynamics of EEA Energy Law	789
4.1	Making EEA Energy Law	789
4.2	Enforcing EEA Energy Law	793
4.3	The Absence of EEA Regulatory Bodies and the New Lisbon Procedures	803
5	Conclusions	803
	References	805

Part XII Social Protection and Public Health

Social Policy Law	809	
Catherine Barnard		
1	Introduction	809
2	Mapping the Field	810
3	Posted Workers	812
4	Equality	816
4.1	Introduction	816
4.2	The Legal Principles Underpinning the EU Legislation	818
5	Restructuring of Enterprises	822
5.1	Introduction	822
5.2	The Insolvency Directive	822
5.3	Transfer of Undertakings	824
6	Information and Consultation	834
7	Proof of the Contract of Employment	835
8	Conclusions	836
	References	836
The Precautionary Principle	839	
Alberto Alemanno		
1	The Genesis of the Precautionary Principle in the EU: From Vitamins to Mad Cows	839
2	Precautionary Evolution: Of Hormones and Cereals	842
2.1	From <i>EC Hormones</i> to the Commission’s PP Communication	842
2.2	The EFTA Court’s <i>Kellogg’s</i> Case	843
3	Precautionary Consolidation	845
4	Squaring the Precautionary Circle	847
5	Judicial Review of Precautionary-Based Measures	849
6	Conclusion	850
	References	850
Index	853	